



PAKISTAN STOCK EXCHANGE LIMITED
Stock Exchange Building, Stock Exchange Road, Karachi-74000
UAN: 111-001-122 Fax: 32437560

PSX/N-5086

NOTICE

September 15, 2016

ALL TRE CERTIFICATE HOLDERS

Final extension of time for filing monthly Net Capital Balance (“**NCB**”) and Liquid Capital (“**LC**”) Statements as of July 31, 2016 under the Securities Brokers (Licensing and Operations) Regulations, 2016 (“**Regulations**”)

All TRE Certificate Holders are hereby informed that the Pakistan Stock Exchange Limited (PSX) after obtaining confirmation from the Securities and Exchange Commission of Pakistan (SECP) has decided the following:

1. Monthly NCB and LC Statements as of July 31, 2016:

The due date for submission of NCB and LC Statements as of July 31, 2016 has been extended from August 31, 2016 to September 16, 2016. Therefore, all those TRE Certificate Holders that have not yet submitted the NCB and LC Statements or any of these two statements as of July 31, 2016 are advised to submit the same with PSX latest by **September 16, 2016**. Thereafter, no further extension of shall be granted hence the above deadline should be considered FINAL.

2. Monthly NCB and LC Statements as of August 31, 2016:

The due date for submission of NCB and LC Statements as of August 31, 2016 is September 30, 2016.

3. Monthly NCB and LC Statements as of September 30, 2016 and every month thereafter:

The due date for submission of monthly NCB and LC Statements as of September 30, 2016 and end of every month thereafter shall be 15th day of subsequent month i.e. the due date for submission of NCB and LC statements as of September 30, 2016 shall be October 15, 2016.

NOTES:

1. In case of any change in the financial statements for the period ended June 30, 2016 due to financial audit, the TRE Certificate Holders may submit the revised NCB and LC Statements for the months of July 2016 and August 2016 along-with the statement for the month of September 2016 latest by October 15, 2016.
2. As the Regulations require the brokers to submit the monthly NCB and LC Statements to both SECP and PSX, the TRE Certificate Holders are required to submit original along with certified true copies of these statements with PSX for onward submission to SECP on behalf of TRE Certificate Holders.

3. The monthly NCB and LC Statements are required to be submitted with the **Head of Operations of PSX** by the aforementioned due dates.

In case a TRE Certificate Holder fails to submit both the NCB and LC Statements in a prescribed and timely manner, the SECP and/or PSX shall take enforcement action(s) against such TRE Certificate Holder under the applicable regulatory framework(s). Therefore, all TRE Certificate Holders are advised to note the above for record and compliance purposes.



ABBAS MIRZA

GM & Chief Compliance Officer

Distribution: TREC Holders of PSX based at Karachi through Karachi Office
TREC Holders of PSX based at Lahore through Lahore Office
TREC Holders of PSX based at Islamabad through Islamabad Office

Cc:

1. The Executive Director (PRDD), SMD, SECP
2. The Managing Director, PSX
3. The Chief Executive Officer, CDC
4. The Chief Executive Officer, NCCPL
5. The Chief Executive Officer, PMEX
6. The Chief Regulatory Officer, PSX
7. All Heads of Department, PSX
8. Notice Board and Website of PSX